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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/084,385	02/28/2002	Hiroshi Sakamoto	381NP/50859	8361

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EXAMINER
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LE, DAVID D

ART UNIT	PAPER NUMBER
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3681

DATE MAILED: 01/07/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/084,385

Applicant(s)

SAKAMOTO ET AL.

Examiner

David D. Le

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 20 October 2003.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-9 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 28 February 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. §§ 119 and 120

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☒ All b) ☐ Some \* c) ☐ None of:  
1. ☒ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.  
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_ 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

This is the second Office action on the merits of Application No. 10/084,385, filed on 28 February 2002. Claims 1-9 are pending.

#### **Documents**

1. The following documents have been received and filed as part of the patent application:
  - Information Disclosure Statement, received on 02/28/02
  - Priority Document, received on 02/28/02

#### ***Priority***

2. Applicant cannot rely upon the foreign priority papers to overcome the following rejections because a translation of said papers has not been made of record in accordance with 37 CFR 1.55. See MPEP § 201.15.

#### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

**4. Claims 1-5 and 7-9 are rejected under 35 U.S.C. 102(e) as being anticipated by U. S. Patent No. 6,634,247 to Pels et al.**

*Claims 1-5 and 7-9:*

*Pels* (i.e., Fig. 1; column 1, line 3 – column 2, line 22; column 18, lines 5-25; column 19, line 15 – column 28, line 40) discloses a double-clutch transmission comprising:

- An engine, (column 19, lines 29-30);
- A gear-type transmission (i.e., Fig. 1; element 1a);
- A first input shaft (i.e., Fig. 1; element 2a);
- A first clutch (i.e., Fig. 1; element 5);
- A second input shaft (i.e., Fig. 1; element 2b);
- A second clutch (i.e., Fig. 1; element 6);
- An output shaft (i.e., Fig. 1; element 3);
- A plural numbers of gear trains provided between said first input shaft and said output shaft and between said second input shaft and said output shaft;
- A claw clutch provided on said gear trains (i.e., Fig. 1);
- A first motor (10) connected to said first input shaft;
- A second motor (i.e., column 2, lines 1-12) connected to said second input shaft;
- A battery (i.e., column 22, line 65 – column 23, line 1);

- Wherein either one of said first motor and said second motor is driven so that reduction of torque on said output shaft is compensated, when conducting gear shift through change-over of said gear trains by means of said claw clutch (i.e., column 18, lines 5-14);
- Wherein either one of said first motor and said second motor is driven so that torque fluctuation on said output shaft is suppressed, when conducting gear shift through change-over of said gear trains by means of said claw clutch (i.e., column 18, lines 14-22);
- Wherein either one of said first motor and said second motor is driven so that wear-out of said claw clutch is suppressed by controlling either one of said first input shaft and said second input shaft, when conducting gear shift through change-over of said gear trains by means of said claw clutch (i.e., column 18, lines 23-25);
- Wherein said battery is being charged with an output generated by either one of said first motor and said second motor (i.e., column 22, line 65 – column 23, line 1);
- Wherein either one of said first motor and said second motor is driven with an output discharged from said battery for traveling (i.e., column 27, line 61 – column 28, line 40);

- Wherein electric power generation is conducted through driving either one of said first motor or said second motor by a part of motive power of said engine, so as to charge said battery with generated output obtained by the electric power generation, during traveling with driving power of said engine (i.e., column 22, line 63 – column 23, line 1);
- Wherein either one of said first motor and said second motor is driven by said engine, so as to conduct electric power generation, while the other is driven with generated output obtained through the electric power generation, thereby to travel (i.e., column 22, line 63 – column 23, line 1); and
- Wherein either one of said first motor and said second motor is driven with an output discharged from said battery, thereby to assist driving power of said engine (i.e., column 27, line 61 – column 28, line 40).

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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**6. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Pels et al. in view of U. S. Patent Application Publication No. US 2002/0179347 A1 to Tamai et al.**

Claim 6:

*Pels* (i.e., Fig. 1; column 1, line 3 – column 2, line 22; column 18, lines 5-25; column 19, line 15 – column 28, line 40) discloses a double-clutch transmission comprising:

- An engine, (column 19, lines 29-30);
- A gear-type transmission (i.e., Fig. 1; element 1a);
- A first input shaft (i.e., Fig. 1; element 2a);
- A first clutch (i.e., Fig. 1; element 5);
- A second input shaft (i.e., Fig. 1; element 2b);
- A second clutch (i.e., Fig. 1; element 6);
- An output shaft (i.e., Fig. 1; element 3);
- A plural numbers of gear trains provided between said first input shaft and said output shaft and between said second input shaft and said output shaft;
- A claw clutch provided on said gear trains (i.e., Fig. 1);
- A first motor (10) connected to said first input shaft;
- A second motor (i.e., column 2, lines 1-12) connected to said second input shaft;
- A battery (i.e., column 22, line 65 – column 23, line 1); and
- Wherein said battery is being charged with an output generated by either one of said first motor and said second motor (i.e., column 22, line 65 – column 23, line 1).

Pels lacks:

- Wherein said battery is being charged with an output generated by either one of said first motor and said second motor when a vehicle stops and if remaining capacity of said battery is less than a predetermined value.

*Tamai* (i.e., paragraphs [0043] – [0044]) teaches a propulsion system for use in a hybrid vehicle comprising the limitation that Pels lacks, as indicated above.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Pels battery state of charge (SOC) to include a predetermined set value so that when the battery SOC is below the predetermined set value, at least one of the motors will be commanded to recharge the battery, in view of *Tamai*, in order to provide the battery with sufficient power to perform its functions such as restarting the internal combustion engine in a hybrid type of vehicle.

### ***Response to Arguments***

7. Applicant's arguments with respect to claims 1-9 have been considered but are moot in view of the new ground(s) of rejection.



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***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David D. Le whose telephone number is 703-305-3690. The examiner can normally be reached on Mon-Fri (0700-1530).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles A Marmor can be reached on 703-308-0830. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9326.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1113.

  
ddl

  
RODNEY H. BONCK  
PRIMARY EXAMINER  
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